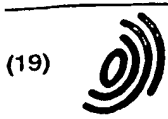


BE



Europäisches Patentamt  
European Patent Office  
Office européen des brevets



(11) EP 0 956 829 A2

(12) EUROPEAN PATENT APPLICATION

(43) Date of publication:  
17.11.1999 Bulletin 1999/46

(51) Int. Cl.<sup>6</sup>: A61B 17/70

(21) Application number: 99109555.5

(22) Date of filing: 12.05.1999

(84) Designated Contracting States:  
AT BE CH CY DE DK ES FI FR GB GR IE IT LI LU  
MC NL PT SE  
Designated Extension States:  
AL LT LV MK RO SI

(72) Inventors:  
• Stoltzenberg, Ingo  
24253 Probstseierhagen (DE)  
• Robioneck, Bernd  
24211 Preetz (DE)

(30) Priority: 13.05.1998 DE 29808593 U

(71) Applicant: Howmedica GmbH  
D-24232 Schönkirchen (DE)

(74) Representative:  
Patentanwälte  
Hauck, Graalfs, Wehnert,  
Döring, Siemons  
Neuer Wall 41  
20354 Hamburg (DE)

(54) Means for interconnecting two spaced elongated rods of a human spine implant

(57) A means for the interconnection of two spaced elongated rods of a human spine implant comprising a hook having a mouth and being adapted to partially grip around a first elongated rod, a transverse bar connected to the hook and gripping means adapted to be connected to the second elongated rod and to be attached to the transverse bar, whereby transverse bar and hook are interconnected through a joint, the joint having joint portions which are adapted to be pivoted

between a release position and a locking position, in that further locking means are provided which inhibit a pivoting of the joint portions of the hook to the release position and in that the joint portion connected to the transverse bar is structured such that in the release position the hook with its mouth can be freely placed onto the elongated rod while in the locking position the rod is locked within the mouth.

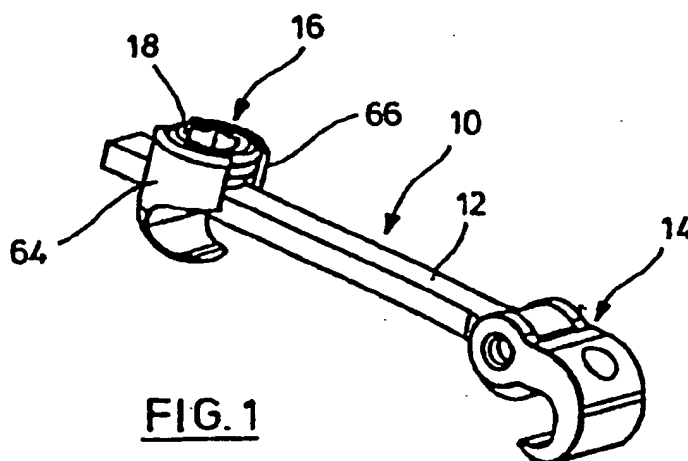


FIG. 1

EP 0 956 829 A2

BEST AVAILABLE COPY

## Description

[0001] The invention refers to means for the interconnection of two spaced elongated rods of a human spine implant.

[0002] For the stabilization, reposition, distraction or the like of vertebrae of a human spine it is known to apply suitable implants. Such implants normally include pedicle screws which are adapted to be screwed into the pedicle of the vertebrae. It is known to interconnect vertebrae through such pedicle screws and further suitable means, e.g. elongated rods which are attached to the pedicle screws by suitable fastening means. Frequently, there is the necessity that such elongated rods which normally are extended parallel to each other in accordance with the arrangement of the pedicle screws are to be interconnected through at least one transverse bar. Also to this purpose suitable means are known. The known devices have the disadvantage that the surgeon needs much time to install the connection means. The space between the rods and their position differ significantly, and the accessibility for the application of the interconnection means is restricted.

[0003] Object of the invention is to provide a means for the interconnection of two spaced elongated rods of a human spine implant which is simply structured and allows a quick installation.

[0004] In the means according to the invention a transverse bar forms a joint with a hook. The hook is designed such that it may embrace the elongated rod through its mouth in order to exert a transverse force onto the elongated rod. It is essential to the invention that the hook may attain different positions relative the transverse bar. In a release position the mouth of the hook may freely accommodate an elongated rod and allow the removal thereof. If, however, a relative pivoting of rod and hook into the locking position is effected, it inhibits a release of the rod from the hook. In the most simple manner this can be achieved in that the entrance area of the mouth is narrowed. In order to prevent the hook from pivoting into the release position, locking means are provided which become effective if the hook is pivoted into the locking position.

[0005] The connection of such a means to an elongated rod thus takes place in the manner that hook and transverse bar have a predetermined angle relative to each other so that the hook may be conveniently placed onto the elongated rod. Thereafter the elongated rod is pivoted relative to the hook to an extent that the locking position is reached. Preferably, the structure of the link of the joint associated with the bar is such that the elongated rod is clamped within the mouth of the hook more or less.

[0006] By means of a suitable gripping means which is connected to the other end of the transverse bar a connection with a second elongated rod can be made.

[0007] The linking of the transverse bar to the hook is preferably such that in the locking position the tensional

force at the hook is approximately axially transferred to the transverse bar. By this, only a relatively small force is exerted onto the locking means when the transverse bar is set under tension.

[0008] According to an embodiment of the invention the narrowing of the entrance portion of the mouth of the hook or the clamping of the elongated rod in the mouth, respectively, can be achieved in that the joint portion associated with the transverse bar has an eccentric surface which faces the mouth of the hook.

[0009] According to another embodiment of the invention the locking means can include a deformable extension attached to the joint portion connected with the transverse bar. The extension cooperates with an edge of the hook when the hook is pivoted into the locking position. Preferably, the locking extension is formed by an arcuate segment of the joint portion which is formed by an arcuate slot in the joint portion.

[0010] According to a further embodiment of the invention the mouth portion of the hook has a through-going opening which in the locking position is located approximately at the level of the locking means. By this, a tool can be inserted to disengage the locking means or the locking extension, respectively from the edge whereby the hook thus can be pivoted into the release position.

[0011] Different structural embodiments are conceivable for the design of the gripping means at the other end of the transverse bar. Above all, it is essential that the gripping means are slidably supported by the bar in order to achieve an adaptation to the space of the elongated rods. According to an embodiment of the invention, this gripping means is also formed by a hook having a support portion which includes a passage for the extension of the transverse bar therethrough as well as an axial bore for the accommodation of a set screw. In order to simply attach this hook to the transverse bar, the passage is open to the free end of the support portion. By this, the transverse bar can be readily inserted into the support portion. Thereafter the set screw, preferably a headless screw, is threaded into the threaded bore in order to fix the hook onto the transverse bar.

[0012] The thread of the support portion preferably has a saw tooth shape such that the steeper flank of the thread faces the mouth portion. By this, it is prevented that upon load the set screw is released from the fork-shaped head in that the leg portions are bent away from each other. By means of such a thread substantially only tensional forces are exerted on the leg portions which do not result in a bending thereof.

[0013] Embodiments of the invention are subsequently explained along accompanying drawings.

Fig. 1 is a perspective view of a means according to the invention.

Fig. 2 is a side view of a portion of the means of Fig. 1.

Fig. 3 is a plan view on the portion of Fig. 2.

Fig. 4 is a side view of a transverse bar with a joint portion of the means of Fig. 1.

Fig. 5 is a plan view of the portion of Fig. 4.

Fig. 6 shows a plan view of the pivotable hook of the means of Fig. 1.

Fig. 7 is a section through the means of Fig. 6 along line 7-7.

Fig. 8 is a side view of means of Fig. 1.

Fig. 9 is a side view of the hook of Fig. 8 in the direction of arrow 9.

Fig. 10 is a cross section through the hook of Fig. 9 along line 10-10.

[0014] The means 10 shown in Fig. 1 include a transverse bar 12 substantially rectangular in cross section, a first hook 14 and a second hook 16. The first hook 14 is connected to the transverse bar 12 through a joint. This is explained in more detail hereinafter. The second hook 16 is rigidly attached to transverse bar 12. It includes a passage to be described herebelow for the accommodation of the transverse bar 12 which by means of a set screw 18 is fixed within the hook 16.

[0015] The structure of hook 14 and of the joint with the transverse bar 12 can be more clearly seen in the Figures 4 to 7. As can be seen in Fig. 4, a disc-shaped joint portion 20 is provided having a throughbore 22. In the lower area the circumferential surface 24 of the joint portion 20 is circular while at 26 an eccentric bulge is provided which in the direction of an arcuate segment 28 is provided with indentation 30. The arcuate segment 28 is formed by an arcuate slot 32.

[0016] Hook 14 has a support portion 34 and a mouth portion 36. The support portion 34 is fork-shaped and has two spaced legs 38, 40 which both have a throughbore 42. The leg portions 39, 40 form a recess 44 adapted to accommodate the disc-shaped joint portion 20, with the throughbores 42, 22 being aligned for the accommodation of a joint pin (not shown). The hook portion 36 has a throughbore 48 which is open to recess 44 and extends into the mouth 50 of hook 40. A surface 52 is formed by the leg portions 38, 40 of the support portion at the side facing the recess 44. The surface is located on the outer side of the arcuate segment 28 after the assembly of the joint portions. In the assembling position the hook 14 is pivoted upwardly if compared with Figs. 1 and 2. If hook 14 is pivoted relative to transverse bar 12 into the position shown in Figs. 1 and 2 the free end 54 of segment 28 engages behind a shoulder 58 formed by surface 52 and thus locks hook 14 in such a manner that it cannot pivot back. The

arrangement of the surface 52 is such that upon rotation of the hook segment 28 is slightly deformed radially inwardly so that it subsequently may engage edge 58.

[0017] If prior to this operation a rod is inserted into mouth 50 of the hook as shown at 60 in Fig. 2 and afterwards the hook is pivoted into the position shown in Figs. 1 and 2 rod 60 is clamped within mouth 50 of hook 14 as the eccentric portion 26 restricts or narrows the entrance area of the mouth. As already mentioned, the hook cannot be turned or pivoted back. Thus, rod 60 is safely attached to mouth 50.

[0018] In Figs. 8 to 10 a second hook 16 is shown in detail. As can be seen in Fig. 1, hook 16 has two arcuate support portions 64, 66. The support portions 64, 66 have threaded portions, one of the threaded portion is shown at 68 in Fig. 10. A passage 70 is provided between the support portions 64, 66. The passage 70 is opened to the free end of the support portion. The passage is adapted to accommodate the transverse bar 12 as shown in Fig. 1. A set screw 18 is threaded into the threaded portions 68 in order to fix bar 12 to the hook 16. The hook mouth 72 accommodates a second elongated rod not shown without fixing the rod axially. The threaded portions 68 are shaped such that one flank of the threads extends substantially perpendicular to the axis, i.e. the flank facing mouth 72. Possibly occurring tensional forces at mouth 22 thus are only transferred as tensional forces to portions 64, 66. Thus, the portions 64, 66 are prevented from being bent away from each other which otherwise may affect the threaded engagement of the set screw 18.

#### Claims

1. A means for the interconnection of two spaced elongated rods of a human spine implant, comprising a hook having a mouth and being adapted to partially grip around a first elongated rod, a transverse bar connected to the hook and gripping means adapted to be connected to the second elongated rod and to be attached to the transverse bar, characterized in that transverse bar (12) and hook (14) are interconnected through a joint (20, 38, 40), the joint having joint portions which are adapted to be pivoted between a release position and a locking position, in that further locking means (28, 58) are provided which inhibit a pivoting of the joint portions of the hook to the release position and in that the joint portion (20) connected to the transverse bar (12) is structured such that in the release position the hook with its mouth can be freely placed onto the elongated rod (60) while in the locking position the rod (60) is locked within the mouth.
2. The means of claim 1, wherein the joint portion associated with the transverse bar has an eccentric surface (26) facing the mouth (50) of the hook.

3. The means of claim 1 or 2, wherein the joint portion (20) associated with the transverse bar has a deformable locking portion (28), an edge (58) of the hook (12) may snap behind said locking portion when the hook is pivoted into the locking position. 5
4. The means of claim 3, wherein the locking portion (28) is shaped as an arcuate segment formed by an arcuate slot. 10
5. The means of claim 1, wherein in the mouth portion (36) hook (12) has a throughgoing opening (58) which in the locking position of hook (12) is located approximately at the level of locking means (28) 15
6. The means of claim 1, wherein the other gripping means includes also a hook (16) the support portion (64, 66) thereof including a passage (70) for the accommodation of the transverse bar (12) and an axial threaded bore (68) for the accommodation of a set screw (18) which cooperates with the transverse bar (12) within the passage. 20
7. The means of claim 6, wherein the passage (70) is open to the free end of the support portion in order to facilitate the insertion of the transverse bar. 25
8. The means of claim 6 or 7, wherein the thread of the support portion (64, 66) has saw tooth-shaped flanks such that the steeper flanks face the hook portion (22). 30

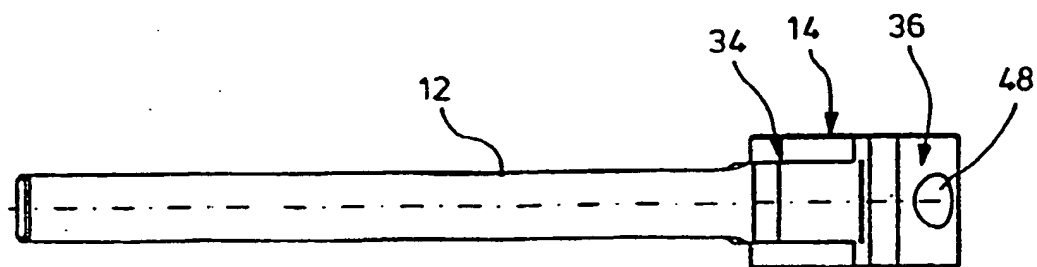
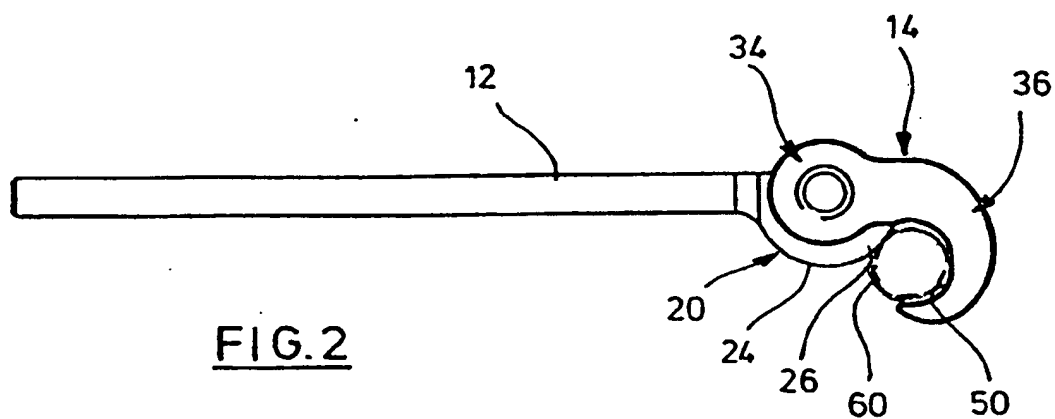
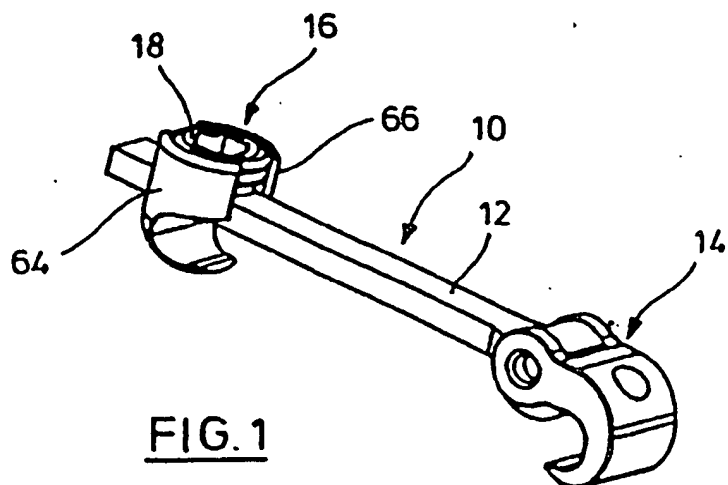
35

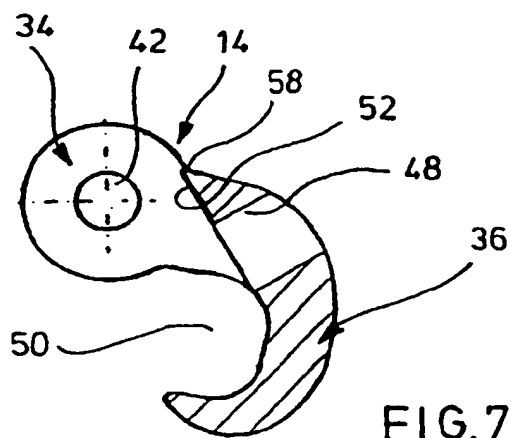
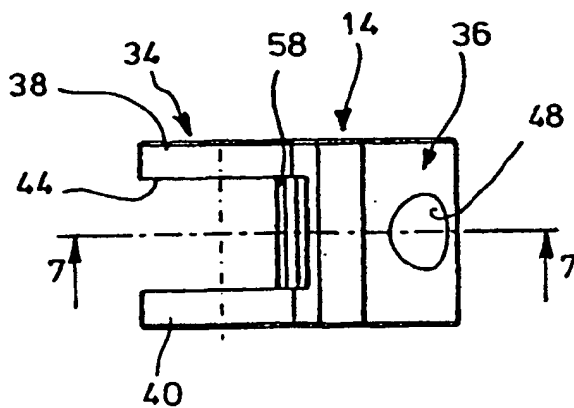
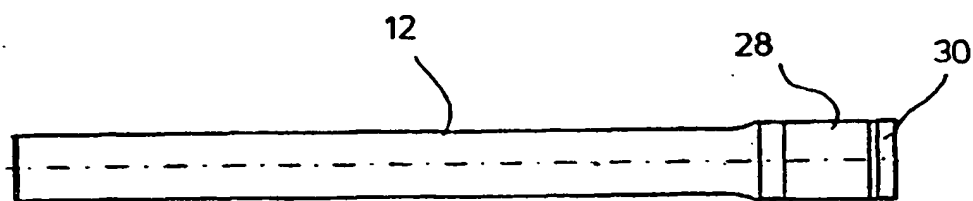
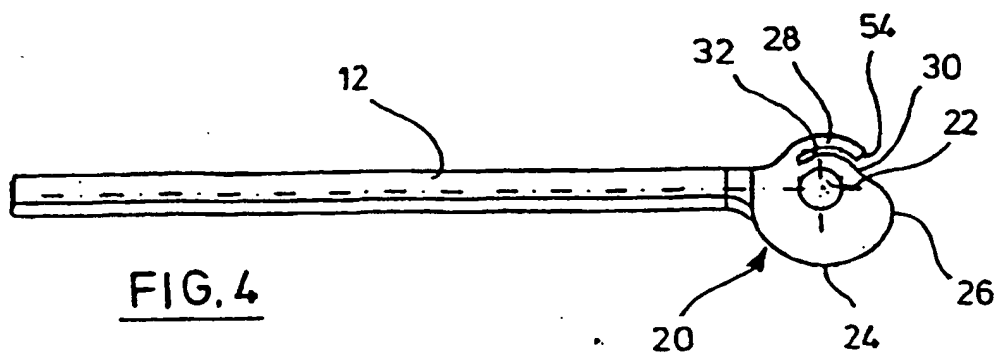
40

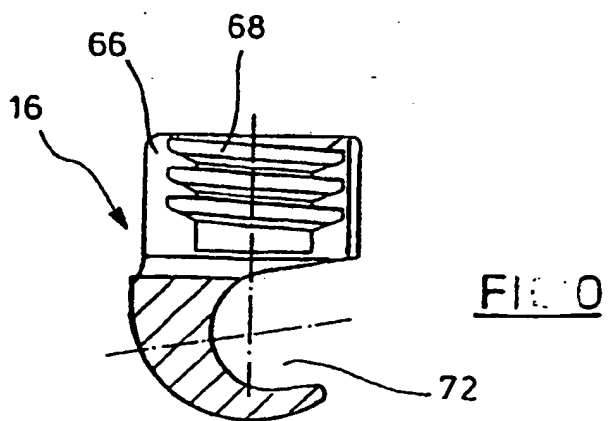
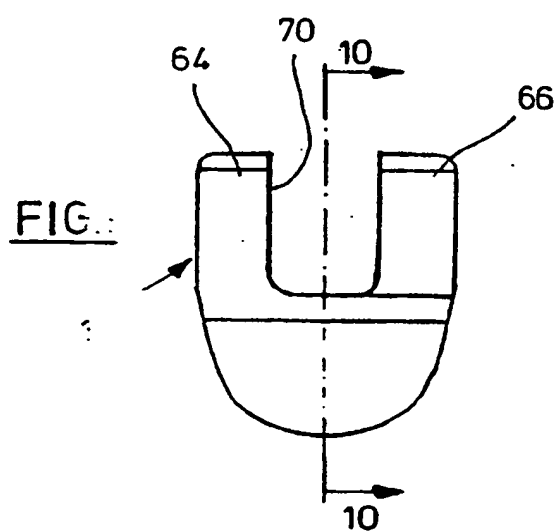
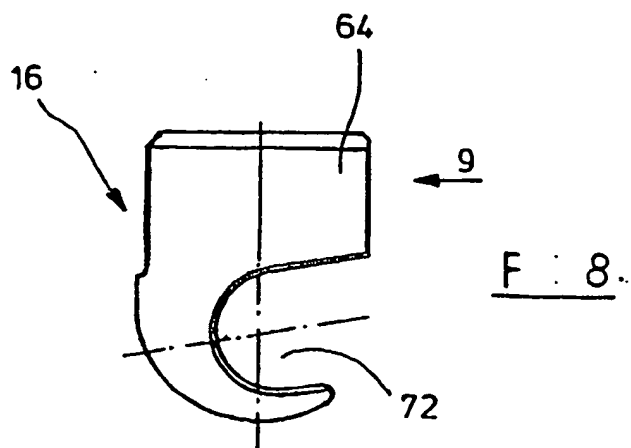
45

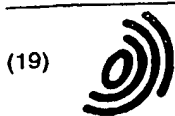
50

55









(19)

Europäisches Patentamt  
European Patent Office  
Office européen des brevets



(11)

EP 0 956 829 A3

(12)

## EUROPEAN PATENT APPLICATION

(88) Date of publication A3:  
06.02.2002 Bulletin 2002/06

(51) Int Cl.7: A61B 17/70

(43) Date of publication A2:  
17.11.1999 Bulletin 1999/46

(21) Application number: 99109555.5

(22) Date of filing: 12.05.1999

(84) Designated Contracting States:  
AT BE CH CY DE DK ES FI FR GB GR IE IT LI LU  
MC NL PT SE  
Designated Extension States:  
AL LT LV MK RO SI

(72) Inventors:  
• Stoltenberg, Ingo  
24253 Probstelerhagen (DE)  
• Robloneck, Bernd  
24211 Preetz (DE)

(30) Priority: 13.05.1998 DE 29808593 U

(74) Representative:  
Patentanwälte Hauck, Graalfs, Wehnert, Döring,  
Simons  
Neuer Wall 41  
20354 Hamburg (DE)

(71) Applicant: Howmedica GmbH  
D-24232 Schönkirchen (DE)

### (54) Means for interconnecting two spaced elongated rods of a human spine implant

(57) A means for the interconnection of two spaced elongated rods of a human spine implant comprising a hook having a mouth and being adapted to partially grip around a first elongated rod, a transverse bar connected to the hook and gripping means adapted to be connected to the second elongated rod and to be attached to the transverse bar, whereby transverse bar and hook are interconnected through a joint, the joint having joint

portions which are adapted to be pivoted between a release position and a locking position, in that further locking means are provided which inhibit a pivoting of the joint portions of the hook to the release position and in that the joint portion connected to the transverse bar is structured such that in the release position the hook with its mouth can be freely placed onto the elongated rod while in the locking position the rod is locked within the mouth.

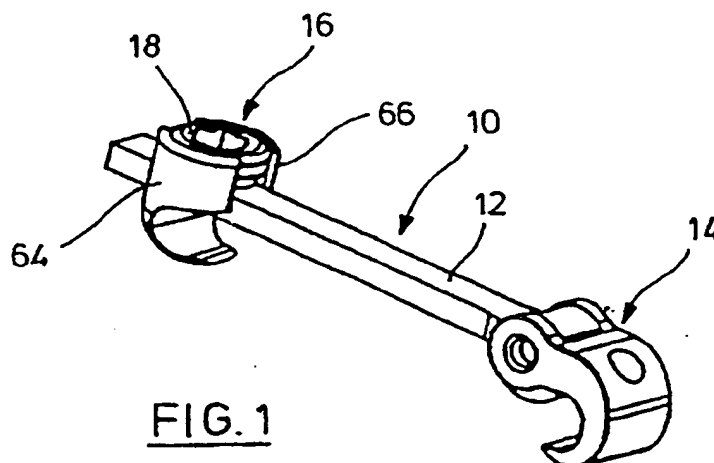


FIG. 1

EP 0 956 829 A3





European Patent  
Office

## EUROPEAN SEARCH REPORT

Application Number  
99 10 9555

DOCUMENTS CONSIDERED TO BE RELEVANT			
Category	Citation of document with indicator, where appropriate, of relevant passages	Relevant to claim	CLASSIFICATION OF THE INVENTION (Int.Cl.6)
X	FR 2 745 708 A (BRIENNE IND) 12 September 1997 (1997-09-12)	1	A61K 170
Y	* figure 2 * * page 5, line 20 - line 21 * * page 8, line 3 - line 8 *	6,7	
A	US 5 601 552 A (COTREL YVES P) 11 February 1997 (1997-02-11) * figures 2, 3B, 5 *	1,5,6	
Y	US 5 005 562 A (COTREL YVES) 19 April 1991 (1991-04-09)	6,7	
A	* figure 1 *	1	
The present search report has been drawn up for all claims			TECHNICAL FIELDS SEARCHED (Int.Cl.6)
			A61K
Place of search MUNICH		Date of completion of the search 13 December 2001	Store
<p><b>C: CATEGORY OF CITED DOCUMENTS</b></p> <p>X: particularly relevant if taken alone Y: particularly relevant if combined with another document of the same category A: technological background O: non-written disclosure P: intermediate document</p> <p>T: theory or principle underlying the invention E: earlier patent document, but published after the filing date D: document cited in the application L: document cited for other reasons B: member of the same patent family, corresponding document</p>			

EPO FORM 1535 (01/02) (P04001)

**ANNEX TO THE EUROPEAN SEARCH REPORT  
ON EUROPEAN PATENT APPLICATION NO.**

EP 959 10 9555

This annex lists the patent family members relating to the patent documents cited in the above-mentioned European search report. The members are as contained in the European Patent Office EDP file on  
The European Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

113-12-2001

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
FR 2745708 A	12-09-1997	US 5800548 A	01-09-1998
		FR 2745708 A1	12-09-1997
		EP 0793947 A1	10-09-1997
US 5601552 A	11-02-1997	AT 174778 T	15-01-1999
		AU 1859795 A	09-10-1995
		DE 69506850 D1	04-02-1999
		EP 0750477 A1	02-01-1997
		WO 9525473 A1	28-09-1995
		JP 9506283 T	24-06-1997
		US 5899903 A	04-05-1999
		US 5743911 A	28-04-1998
US 5005562 A	09-04-1991	FR 2633177 A1	29-12-1989
		DE 8915443 U1	13-06-1990
		DE 68900228 D1	02-10-1991
		EP 0348272 A1	27-12-1989
		ES 2025850 T3	01-04-1992
		JP 2118789 C	06-12-1996
		JP 2198549 A	07-08-1990
		JP 8017782 B	28-02-1996

EPO FORM P0439

For more details about this annex : see Official Journal of the European Patent Office, No. 12/82

**This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record**

**BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

☐ **BLACK BORDERS**

☐ **IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**

☒ **FADED TEXT OR DRAWING**

☒ **BLURRED OR ILLEGIBLE TEXT OR DRAWING**

☐ **SKEWED/SLANTED IMAGES**

☐ **COLOR OR BLACK AND WHITE PHOTOGRAPHS**

☐ **GRAY SCALE DOCUMENTS**

☒ **LINES OR MARKS ON ORIGINAL DOCUMENT**

☐ **REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**

☐ **OTHER: \_\_\_\_\_**

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.**